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FSUM 10465.21
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Robert A. Holton
Serial No. To be Assigned
Filed February 6, 2002
Confirmation No. To be Assigned
For C7 CARBAMATE SUBSTITUTED TAXANES

February 6, 2002

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

INFORMATION DISCLOSURE STATEMENT

* In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit copies of the references listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. Copies of the references have not been provided in accordance with 37 C.F.R. § 1.98(d) because they are of record in prior application Serial No. 09/776,494 relied upon for an earlier filing date under 35 U.S.C. § 120.


Respectfully submitted,

A handwritten signature in black ink, appearing to read "E. Hejlek".

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St. Louis, Missouri 63102
(314) 231-5400

PTO/SB/08A			Complete if Known 10/07/924		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	To Be Assigned	
			Filing Date	February 6, 2002	
			Confirmation Number	To Be Assigned	
			First Named Inventor	Robert A. Holton	
			Group Art Unit	To Be Assigned 1625	
			Examiner Name	To Be Assigned TRIN	
Sheet	1	of	3	Attorney Docket No.	FSUM 10465.21

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
ST	1	4,960,790		Stella et al.	10-02-1990
ST	2	5,175,315		Holton	12-29-1992
ST	3	5,227,400		Holton et al.	07-13-1993
ST	4	5,243,045		Holton et al.	09-07-1993
ST	5	5,283,253		Holton et al.	02-01-1994
ST	6	5,350,866		Holton et al.	09-27-1994
ST	7	5,430,160		Holton	07-04-1995
ST	8	5,567,614		Patel et al.	10-22-1996
ST	9	5,714,513		Holton et al.	02-03-1998
ST	10	5,721,268		Holton et al.	02-24-1998
ST	11	5,780,653		Tao et al.	07-14-1998
ST	12	5,811,452		Ojima et al.	09-22-1998
ST	13	5,879,929		Patel	03-09-1999
ST	14	5,912,264		Wittman et al.	06-15-1999

Examiner Signature		Date Considered	4-03
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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				Group Art Unit	To Be Assigned ¹⁶²⁸
				Examiner Name	To Be Assigned ^{TRIM}
Sheet	2	of	3	Attorney Docket No.	FSUM 10465.21

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
BT	15	EP	0 524 093	A1	Rhone-Poulenc Rorer S.A.	01-20-1993	
BT	16	EP	0 558 959	A1	Bristol-Myers Squibb Co.	09-08-1993	
BT	17	EP	0 600 517	B1	Bristol-Myers Squibb Co.	06-08-1994	
BT	18	EP	0 629 701	B1	Bristol-Myers Squibb Co.	12-21-1994	
BT	19	WO	93/02065	A1	Rhone-Poulenc Rorer S.A.	02-04-1993	
BT	20	WO	96/13495	A1	The Research Foundation of State University of New York	05-09-1996	
BT	21	WO	97/09979	A1	Florida State University	03-20-1997	
BT	22	WO	97/32578	A1	The Research Foundation of State University of New York	09-12-1997	
BT	23	WO	97/42181	A1	Florida State University	11-13-1997	
BT	24	WO	99/09021	A1	Florida State University	02-25-1999	
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							

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Sheet	3	of	3	Attorney Docket No.	FSUM 10465.21

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published:	T ⁶
GT	25	Gueritte-Voegelein et al. "Relationships between the Structure of Taxol Analogues and Their Antimitotic Activity" Journal of Medicinal Chemistry, Vol. 34, No. 3 (1991) pp. 992-998.	
AT	26	KANT et al. "A Chemoselective Approach to Functionalize the C-10 Position of 10-Deacetylbaccatin III. Synthesis and Biological Properties of Novel C-10 Taxol Analogues" Tetrahedron Letters, Vol. 35, No. 30 (1994) pp. 5543-5546.	
AT	27	STRAUBINGER et al. "Pharmacology and Antitumor Effect of Novel Placitaxel Formulations" Chapter 8, Edited by G. Georg et al., Taxane Anticancer Agents, Basic Science and Current Status, ACS Symposium Series 583, 207th National Meeting of the American Chemical Society, San Diego, CA (1994) pp. 111-123.	
BT	28	SUFFNESS "Chapter 32. Taxol: From Discovery to Therapeutic Use" Annual Reports in Medicinal Chemistry, Vol. 28 (1993) pp. 305-314.	
BT	29	International Search Report for analogous PCT application No. PCT/US01/03592 dated June 7, 2001.	

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